

COMMISSIONERS

COUNTY OF COLUSA:

GARY EVANS
KENT BOES, CHAIR
DAURICE K. SMITH

City Colusa:

JOSH HILL
TOM REISCHE

City of Williams:

FRANK KENNEDY, VICE-CHAIR



COUNTY OF COLUSA
TRANSPORTATION COMMISSION &
TRANSIT AGENCY
1215 MARKET STREET,
COLUSA, CA 95932
TELEPHONE 530-458-0508
FAX (530) 458-2035
Michael Azevedo,
Public Works Director
colusacountyca.igam2.com
www.countyofcolusa.org

COLUSA COUNTY TRANSPORTATION COMMISSION & TRANSIT AGENCY

Agenda

September 28, 2021

Colusa County Board of Supervisors Chambers
546 Jay Street, Suite 108
Colusa, CA 95932

3:00 p.m. CALL TO ORDER/ROLL CALL

I. PERIOD OF PUBLIC COMMENT (TRANSIT AND/OR TRANSPORTATION)

II. MINUTES APPROVAL

1. Minutes Approval
Transportation Commission & Transit Agency – Regular Meeting – August 31, 2021.

III. REPORTS (TRANSIT AND/OR TRANSPORTATION)

1. Executive Director
2. Transit Manager
3. Caltrans
4. City of Colusa
5. City of Williams
6. California Highway Patrol

IV. SITTING AS THE COLUSA COUNTY TRANSPORTATION COMMISSION

1. Adopt a Resolution Apportioning Local Transportation Funds For The 2021-2022 Fiscal Year.
2. Adopt a Resolution of the Colusa County Transportation Commission for the Distribution of the Local Transportation Funds for Fiscal Year 2021-2022.
3. Adopt a Resolution of the Colusa County Transportation Commission for the Allocation of State Transit Assistance Funds For Fiscal Year 2021-2022.

4. Approve FY 2020-21 Revenue and Appropriations Inter-Budget Adjustment No. 1020. **(Requires 5/6th vote)**

V. SITTING AS THE COLUSA COUNTY TRANSIT AGENCY

1. Adopt Colusa County Transit Agency Updated Zero Tolerance Drug And Alcohol Testing Policy.

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the purview of the Transportation Commission and Transit Agency and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of **15 minutes per issue** and each individual or group will be limited to no more than **5 minutes each**. **Note:** No Board action shall be taken on comments received.

ADA COMPLIANCE: Upon request, Agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the County Board Clerk, specifying your disability, the format in which you would like to receive this Agenda, and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Office of the Board Clerk, 547 Market Street, Suite 102, Colusa, CA 95932 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

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**Transportation Commission & Transit Agency
 Board Chambers
 546 Jay Street, Suite 108
 Colusa, CA 95932**

**Minutes
 August 31, 2021**

The Colusa County Transportation Committee (CCTC) and the Colusa County Transit Agency (CCTA) meet in Regular Session this 31st day of August 2021 at the hour of 3:00 p.m. Present: Commissioners Frank Kennedy, Daurice K. Smith, Gary J. Evans, Josh Hill and Kent S. Boes. Absent: Commissioner Tom Reische.

I. PERIOD OF PUBLIC COMMENT (TRANSIT AND/OR TRANSPORTATION)
 None.

II. MINUTES APPROVAL
 1. Minutes Approval
 Transportation Commission & Transit Agency – Regular Meeting – June 22, 2021.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	Smith
SECONDER:	Evans
AYES:	Boes, Kennedy, Smith, Evans, Hill
ABSENT:	Reische

III. REPORTS (TRANSIT AND/OR TRANSPORTATION)

1. Executive Director
 Mr. Azevedo updates the Commissioners on projects to be programmed for the 2022 Regional Transportation Improvement Plan (RTIP) and the State Transportation Improvement Plan (STIP).
2. Transit Manager
 Mr. Simms updates the Commissioners on the passenger count report.
3. Caltrans
 None.

Minutes Acceptance: Minutes of Aug 31, 2021 3:00 PM (Minutes Approval)

4. City of Colusa
None.
5. City of Williams
None.
6. California Highway Patrol
None.

IV. SITTING AS THE COLUSA COUNTY TRANSIT AGENCY

Chair Boes declares a recess at 3:03 p.m. and states the Commissioners are now sitting as the Colusa County Transit Agency with all Commissioners present, except Commissioner Reische.

1. Adopt **Resolution No. 2022-001** authorizing federal formula grant funding under Federal Transportation Administration Section 5311 (49 U.S.C. Section 5311) with California Department of Transportation.

Comments received by Mr. Simms.

RESULT:	ADOPTED [UNANIMOUS]
MOVER:	Kennedy
SECONDER:	Smith
AYES:	Boes, Kennedy, Smith, Evans, Hill
ABSENT:	Reische

2. Adopt **Resolution No. 2022-002** authorizing federal formula grant funding under Federal Transportation Administration for CRRSAA with California Department of Transportation as revised.

Comments received by Mr. Simms.

RESULT:	ADOPTED [UNANIMOUS]
MOVER:	Smith
SECONDER:	Hill
AYES:	Boes, Kennedy, Smith, Evans, Hill
ABSENT:	Reische

3. Adopt **Resolution No. 2022-003** approving a State of Good Repair List Of Projects For FY 2021/2022 Under the Road Repair and Accountability Act of 2017.

Comments received by Mr. Simms.

RESULT:	ADOPTED [UNANIMOUS]
MOVER:	Smith
SECONDER:	Evans
AYES:	Boes, Kennedy, Smith, Evans, Hill
ABSENT:	Reische

Minutes Acceptance: Minutes of Aug 31, 2021 3:00 PM (Minutes Approval)

Regular Meeting**Tuesday, August 31, 2021****3:00 PM**

Chair Boes adjourned the meeting at 3:08 p.m. to reconvene in Regular Session on September 28, 2021 at the hour of 3:00 p.m.

Kent S. Boes, Chair

Attest: Michael Azevedo,
Secretary to the Transportation
Commission & Transit Agency

BY _____
Patricia Rodriguez, Deputy Clerk

Minutes Acceptance: Minutes of Aug 31, 2021 3:00 PM (Minutes Approval)

**Request For Transportation Commission and Transit Agency**

MEETING DATE: September 28, 2021

DEPARTMENT: Transportation Commission

FROM: Kirsten Montejano

SUBJECT: Adopt Resolution to Set Apportionment Rates for Local Transportation Funds for Fiscal Year 2021-2022

Adopt a Resolution Apportioning Local Transportation Funds For The 2021-2022 Fiscal Year.

Detailed Description/Background of request

Section 99230 of the Public Utilities Code requires annual determination of the amounts of Local Transportation Funds to be allocated, or apportioned to each claimant. In line with this, the Colusa County Transportation Commission has established rules and regulations, consistent with existing law, whereby any funds in the Transportation accounts, which remain after necessary expenditures and administration, planning and unmet transit needs have been allocated, will be apportioned to the unincorporated areas of the County, and the incorporated Cities of Colusa and Williams in accordance with their populations.

For this resolution, the population estimates of California cities and counties determined by the California Department of Finance Table E-1, "City/County Population Estimates with Annual Percentage Change Jan. 1, 2020 and 2021" are utilized and summarized below:

City of Williams	5,487
City of Colusa	6,268
County of Colusa (Uninc.)	10,493

Based on these populations, the percentage of the unallocated funds shall be apportioned as follows:

City of Williams	25%
City of Colusa	28%
County of Colusa (Uninc.)	47%

Prior Board Action

None

Fiscal Impact/Funding Source

To Be Determined. Revenue based on actual Local Transportation Funds available.

Action for the Clerk

Please provide a fully executed copy of the resolution to DPW.

ATTACHMENTS:

- LTF Apportionment Resolution (DOCX)

RESOLUTION NO. 2122-

**A RESOLUTION OF THE COLUSA COUNTY
TRANSPORTATION COMMISSION APPORTIONING
LOCAL TRANSPORTATION FUNDS FOR THE 2021-2022 FISCAL YEAR**

WHEREAS, Section 99230 of the Public Utilities Code requires annual determination of the amounts to be allocated to each claimant;

WHEREAS, the Colusa County Transportation Commission has established rules and regulations, consistent with existing law, whereby any funds in the Transportation accounts, which remain after necessary expenditures and administration, planning and unmet transit needs have been allocated, will be apportioned to the unincorporated areas of the County, and the incorporated Cities of Colusa and Williams in accordance with their populations;

WHEREAS, the population estimates of California cities and counties determined by the California Department of Finance Table E-1, "City/County Population Estimates with Annual Percentage Change Jan. 1, 2020 and 2021" are utilized in this determination and summarized below (figures are for 2021):

City of Williams	5,487
City of Colusa	6,268
County of Colusa (Uninc.)	10,493

WHEREAS, based on these populations, the percentage of the unallocated funds shall be apportioned as follows:

City of Williams	25%
City of Colusa	28%
County of Colusa (Uninc.)	47%

NOW, THEREFORE, BE IT RESOLVED that these percentages for population apportionment be adopted for use during the 2021-2022 fiscal year.

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PASSED AND ADOPTED this 28th day of September 2021 by the following vote:

AYES:

NOES:

ABSENT:

Kent Boes, Chair

ATTEST: Michael Azevedo, Clerk of the
Colusa County Transportation Commission &
Transit Agency

By _____
Patricia Rodriguez, Deputy Clerk

APPROVED AS TO FORM:

Jennifer K. Sutton, Senior Deputy County
Counsel

Attachment: LTF Apportionment Resolution (6809 : Adopt Resolution for Apportionment of the Local Transportation Funds)

**Request For Transportation Commission and Transit Agency**

MEETING DATE: September 28, 2021

DEPARTMENT: Transportation Commission

FROM: Kirsten Montejano

SUBJECT: Adopt a Resolution for the Distribution of Local Transportation Funds for Fiscal Year 2021-2022

Adopt a Resolution of the Colusa County Transportation Commission for the Distribution of the Local Transportation Funds for Fiscal Year 2021-2022.

Detailed Description/Background of request

The Transportation Development Act (TDA) of 1971, also known as SB325, provides funding to be allocated to transit and non-transit related purposes that comply with regional transportation plans. One of these sources of funding is Local Transportation Funds (LTF) which is derived from a 1/4 cent of sales tax. The TDA Public Utility Code (PUC) outlines the purposes & priorities of LTF use by local planning agencies. Under Article 4 of the PUC, LTF money is available for transit services and is prioritized over Article 8 use for local streets and roads. Distributions for local streets and roads may not be made without development of Unmet Transit Needs findings and documentation.

This resolution authorizes the distribution of Local Transportation Funds (LTF) based on the following:

1. Transit Agency receives LTF not to exceed \$832,219. without authorization of the Commission.
2. LTF contingency amounts shall be designated and distributed by the Commission under Article 4 on an as needed basis.
3. \$150,000. of LTF shall be rolled over annually and maintained at the assigned amount for cash flow purposes.
4. The remainder of LTF may be distributed for local streets and roads as apportioned by the Commission after the determination of Unmet Transit Needs findings and documentation.

Prior Board Action

None

Fiscal Impact/Funding Source

Estimated \$832,219

Action for the Clerk

Please provide a fully executed copy of the resolution to DPW.

ATTACHMENTS:

- LTF Distribution Resolution (DOCX)

RESOLUTION NO. 2122-**A RESOLUTION OF THE COLUSA COUNTY TRANSPORTATION COMMISSION
FOR THE DISTRIBUTION OF THE LOCAL TRANSPORTATION FUNDS FOR
FISCAL YEAR 2021-2022**

WHEREAS, the Transportation Development Act of 1971, also known as SB325, establishes the distribution of Local Transportation Funds through the local planning agency under Article 8 and Article 4 of the Act;

WHEREAS, Article 4 establishes claims for Local Transportation Funds from the transportation planning agency by operators of public transportation systems;

WHEREAS, Article 8 establishes claims for Local Transportation Funds from the local transportation planning agency for local streets and roads; and

WHEREAS, Local Transportation Funds may not be distributed under Article 8 without development of Unmet Transit Needs findings and documentation.

NOW, THEREFORE, BE IT RESOLVED that the Colusa County Transportation Commission (Commission) authorizes the following prerequisites for distribution of Local Transportation Funds under Article 4 and Article 8 of the Transportation Development Act of 1971:

1. The Colusa County Transit Agency receives Local Transportation Funds not to exceed the amount of \$832,219.00 without authorization of the Commission.
2. Local Transportation Fund contingency amounts shall be designated and distributed by action of the Commission under Article 4 on an as needed basis.
3. \$150,000 of Local Transportation Funds shall be rolled over annually and maintained at the assigned amount for cash flow purposes.
4. The remainder of the Local Transportation Funds shall be distributed as apportioned by the Commission under Article 8 after the determination of Unmet Transit Needs findings and documentation.

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PASSED AND ADOPTED this 28th day of September 2021 by the following vote:

AYES:

NOES:

ABSENT:

Kent Boes, Chair

ATTEST: Michael Azevedo, Clerk to the
Colusa County Transportation Commission &
Transit Agency

By _____
Patricia Rodriguez, Deputy Clerk

APPROVED AS TO FORM:

Jennifer K. Sutton, Senior Deputy County
Counsel

Attachment: LTF Distribution Resolution (6810 : Adopt Resolution for the Distribution of the Local Transportation Funds)

**Request For Transportation Commission and Transit Agency**

MEETING DATE: September 28, 2021

DEPARTMENT: Transportation Commission

FROM: Kirsten Montejano

SUBJECT: Adopt Resolution for the Allocation of State Transit Assistance Funds for Fiscal Year 2021 - 2022

Adopt a Resolution of the Colusa County Transportation Commission for the Allocation of State Transit Assistance Funds For Fiscal Year 2021-2022.

Detailed Description/Background of request

The State Transit Assistance (STA) fund was created under Chapter 161 of the Statutes of 1979 (SB620), and revised by Chapter 322 of the Statutes of 1982 (AB 2551), and Chapter 105 of the Statutes of 1989 (SB 300). The funds for the program are derived from sales tax on diesel fuel and are deposited by the State controller to the County STA fund. The funds are allocated to Transit operations and must be made in accordance with the allocation instructions in a resolution adopted by the Transportation Commission.

With the passage of this resolution, the Colusa County Transportation Commission authorizes the payment of State Transit Assistance Funds to the Transit Agency as soon as funds are made available by the State.

Prior Board Action

None

Fiscal Impact/Funding Source

Estimated \$157,677.

Action for the Clerk

Please provide a fully executed copy of the resolution to DPW.

ATTACHMENTS:

- STA Resolution (DOCX)

RESOLUTION NO. 2122-**A RESOLUTION OF THE COLUSA COUNTY TRANSPORTATION COMMISSION
FOR THE ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS FOR FISCAL
YEAR 2021-2022**

WHEREAS, The State Transit Assistance (STA) fund was created under Chapter 161 of the Statutes of 1979 (SB620), and revised by Chapter 322 of the Statutes of 1982 (AB 2551), and Chapter 105 of the Statutes of 1989 (SB 300);

WHEREAS, the funds for the program are derived from statewide sales tax on gasoline and diesel fuel;

WHEREAS, pursuant to Section 99312.7 of the Public Utilities Code (PUC), no later than each January 31st the State Controller is required to provide a preliminary estimate of the amount of the STA funds to be allocated to each transportation planning entity;

WHEREAS, pursuant to Section 99312.7 of the PUC, no later than each August 1st, the State Controller is required to provide a revised estimate of the amount of the STA funds to be allocated to each transportation planning entity; and

WHEREAS, pursuant to Section 99312(d)(1) of the PUC, the State Controller makes an allocation to the County Auditor on a quarterly basis;

NOW, THEREFORE, BE IT RESOLVED that the Colusa County Transportation Commission (Commission) authorizes the payment of State Transit Assistance Funds to the Transit Agency as allocated by the State Controller on a Quarterly Basis;

BE IT FURTHER RESOLVED that the Commission recognizes that in times of fiscal hardship and/or uncertainty said quarterly allocations of STA Funds have been postponed by the State Controller, and

BE IT FURTHER RESOLVED that in the case of such a postponement, payment is hereby authorized as soon as the STA funds are allocated by the State Controller.

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PASSED AND ADOPTED this 28th day of September 2021 by the following vote:

AYES:

NOES:

ABSENT:

Kent Boes, Chair

ATTEST: Michael Azevedo, Clerk to the
Colusa County Transportation Commission and
Transit Agency

By _____
Patricia Rodriguez, Deputy Clerk

APPROVED AS TO FORM:

Jennifer K. Sutton, Senior Deputy County
Counsel

Attachment: STA Resolution (6811 : Adopt Resolution for the Allocation of the State Transit Assistance Funds)

**Request For Transportation Commission and Transit Agency**

MEETING DATE: September 28, 2021

DEPARTMENT: Transportation Commission

FROM: Kirsten Montejano

SUBJECT: Revenue and Appropriations Inter Budget Adjustment

Approve FY 2020-21 Revenue and Appropriations Inter-Budget Adjustment No. 1020.
(Requires 5/6th vote)

Detailed Description/Background of request

Increase Revenue & Appropriations to recognize 2020-21 STA funds received and allocated as follows:

DEPARTMENT NAME	FUND NUMBER	DEPT NUMBER	ACCOUNT NUMBER	AMOUNT
State Transit Assistance	04021	0000	454150	\$9,776.00
State Transit Assistance	04021	0000	583011	\$9,776.00

Prior Board Action

None

Fiscal Impact/Funding Source

See Above

Action for the Clerk

None

ATTACHMENTS:

- IB#1020 04021 (XLSX)

Agenda Request

ESTIMATED REVENUE & APPROPRIATIONS

INTER-BUDGET ADJUSTMENT

Department Name: Transportation - State Transit Assistance

Submitted By: Kirsten Montejano

Explanation To adjust budget to fiscal year end actuals.

STA funds were originally budgeted based on a estimate provided by the State, however, funds received were more than the estimated amount, therefore we are requesting to adjust the budget accordingly.

TO INCREASE (DECREASE) REVENUE

DEPARTMENT NAME	FUND NUMBER	DEPT NUMBER	ACCOUNT NUMBER	ACCOUNT NAME	CURRENT AMT. BUDGETED	REVISED AMT. BUDGETED	ADJUSTMENT AMOUNT
State Transit Assistance	04021	0000	454150	State Transit Assistance	\$ 154,993.00	\$ 164,769.00	\$ 9,776.00
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
						Total	\$ 9,776.00

TO INCREASE (DECREASE) APPROPRIATIONS

DEPARTMENT NAME	FUND NUMBER	DEPT NUMBER	ACCOUNT NUMBER	ACCOUNT NAME	CURRENT AMT. BUDGETED	REVISED AMT. BUDGETED	ADJUSTMENT AMOUNT
State Transit Assistance	04021	0000	583011	Transit Assistance	\$ 154,881.00	\$ 164,657.00	\$ 9,776.00
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
						Total	\$ 9,776.00

Fiscal Year: 20-2021

Inter-Budget #: 1020

Approved by Commission: 9/28/2021

Attachment: IB#1020 04021 (6894 : Approve Inter-Budget Adjustment)



Request For Transportation Commission and Transit Agency

MEETING DATE: September 28, 2021

DEPARTMENT: Transit Agency

FROM: Thomas Simms

SUBJECT: Adoption of Updated Drug and Alcohol Policy

Adopt Colusa County Transit Agency Updated Zero Tolerance Drug And Alcohol Testing Policy.

Detailed Description/Background of request

June of 2021, the Colusa County Transit Agency begin the process of an audit from RLS & Associates on behalf of CalTrans in order to maintain compliance with federal regulations, allowing continued receipt of federal funding (i.e. FTA section 5311, etc.).

In July of 2021, the document was returned with comments, which have been incorporated into the final document. Changes to the document included language clean up and updated terminology. All changes made to the document are essentially keeping the document current and timely.

This version of the document has been approved by CalTrans and is awaiting adoption by the Colusa County Transit Agency

Prior Board Action

Last revision with board approval 11-14-17.

Fiscal Impact/Funding Source

No cost is associated with the production of this document other than minimal staff time preparing it. It should be noted that failure to adopt this document may result in ineligibility to receive federal funding.

Action for the Clerk

Please send a PDF copy of the minute order for this item to the Transit Manager and the Safety Officer.

ATTACHMENTS:

- Drug Alcohol_Zero_Tolerance_Policy_v_0421 Sept 3 2021 (DOCX)

DRUG AND ALCOHOL TESTING POLICY

~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY}

Adopted as of [MONTH DD, YEAR]

A. PURPOSE

- 1) The ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} provides public transit and paratransit services for the residents of ~~INSERT DESCRIPTION OF SERVICE AREA~~Colusa County. Part of our mission is to ensure that this service is delivered safely, efficiently, and effectively by establishing a drug and alcohol-free work environment, and to ensure that the workplace remains free from the effects of drugs and alcohol in order to promote the health and safety of employees and the general public. In keeping with this mission, ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} declares that the unlawful manufacture, distribution, dispense, possession, or use of controlled substances or misuse of alcohol is prohibited for all employees.
- 2) Additionally, the purpose of this policy is to establish guidelines to maintain a drug and alcohol-free workplace in compliance with the Drug-Free Workplace Act of 1988, and the Omnibus Transportation Employee Testing Act of 1991. This policy is intended to comply with all applicable Federal regulations governing workplace anti-drug and alcohol programs in the transit industry. Specifically, the Federal Transit Administration (FTA) of the U.S. Department of Transportation has published 49 CFR Part 655, as amended, that mandates urine drug testing and breath alcohol testing for safety-sensitive positions, and prohibits performance of safety-sensitive functions when there is a positive test result, or a refusal to test. The U. S. Department of Transportation (USDOT) has also published 49 CFR Part 40, as amended, that sets standards for the collection and testing of urine and breath specimens.
- 3) Any provisions set forth in this policy that are included under the sole authority of ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} and are not provided under the authority of the above-named Federal regulations are underlined. Tests conducted under the sole authority of ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} will be performed on non-USDOT forms and will be separate from USDOT testing in all respects.

B. APPLICABILITY

This Drug and Alcohol Testing Policy applies to all safety-sensitive employees (full- or part-time) when performing safety sensitive duties. See Attachment A for a list of employees and the authority under which they are included.

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A safety-sensitive function is operation of public transit service including the operation of a revenue service vehicle (whether or not the vehicle is in revenue service), maintenance of a revenue service vehicle or equipment used in revenue service, security personnel who carry firearms, dispatchers or persons controlling the movement of revenue service vehicles and any transit employee who operates a non-revenue service vehicle that requires a Commercial Driver's License to operate. Maintenance functions include the repair, overhaul, and rebuild of engines, vehicles and/or equipment used in revenue service. A list of safety-sensitive positions who perform one or more of the above-mentioned duties is provided in Attachment A. Supervisors are only safety sensitive if they perform one of the above functions. Volunteers are considered safety sensitive and subject to testing if they are required to hold a CDL, or receive remuneration for service in excess of actual expense.

C. DEFINITIONS

Accident: An occurrence associated with the operation of a vehicle even when not in revenue service, if as a result:

- a. An individual dies;
- b. An individual suffers a bodily injury and immediately receives medical treatment away from the scene of the accident; or,
- c. One or more vehicles incur disabling damage as the result of the occurrence and is transported away from the scene by a tow truck or other vehicle. For purposes of this definition, *disabling damage* means damage which precludes departure of any vehicle from the scene of the occurrence in its usual manner in daylight after simple repairs. Disabling damage includes damage to vehicles that could have been operated but would have been further damaged if so operated, but does not include damage which can be remedied temporarily at the scene of the occurrence without special tools or parts, tire disablement without other damage even if no spare tire is available, or damage to headlights, taillights, turn signals, horn, or windshield wipers that makes them inoperative.

Adulterated specimen: A specimen that has been altered, as evidence by test results showing either a substance that is not a normal constituent for that type of specimen or showing an abnormal concentration of an endogenous substance.

Alcohol: The intoxicating agent in beverage alcohol, ethyl alcohol, or other low molecular weight alcohols contained in any beverage, mixture, mouthwash, candy, food, preparation or medication.

Alcohol Concentration: Expressed in terms of grams of alcohol per 210 liters of breath as indicated by a breath test under 49 CFR Part 40.

Aliquot: A fractional part of a specimen used for testing. It is taken as a sample representing the whole specimen.

Canceled Test: A drug or alcohol test that has a problem identified that cannot be or has not been corrected, or which is cancelled. A canceled test is neither positive nor negative.

Confirmatory Drug Test: A second analytical procedure performed on a different aliquot of the original specimen to identify and quantify the presence of a specific drug or metabolite.

Confirmatory Validity Test: A second test performed on a different aliquot of the original urine specimen to further support a validity test result.

Covered Employee Under FTA Authority: An employee who performs a safety-sensitive function including an applicant or transferee who is being considered for hire into a safety-sensitive function (See Attachment A for a list of covered employees).

Designated Employer Representative (DER): An employee authorized by the employer to take immediate action to remove employees from safety-sensitive duties and to make required decisions in testing. The DER also receives test results and other communications for the employer, consistent with the requirements of 49 CFR Parts 40 and 655.

DOT, The Department, DOT Agency: These terms encompass all DOT agencies, including, but not limited to, the Federal Aviation Administration (FAA), the Federal Railroad Administration (FRA), the Federal Motor Carrier Safety Administration (FMCSA), the Federal Transit Administration (FTA), the National Highway Traffic Safety Administration (NHTSA), the Pipeline and Hazardous Materials Safety Administration (PHMSA), and the Office of the Secretary (OST). For purposes of 49 CFR Part 40, the United States Coast Guard (USCG), in the Department of Homeland Security, is considered to be a DOT agency for drug testing purposes. These terms include any designee of a DOT agency.

Dilute specimen: A urine specimen with creatinine and specific gravity values that are lower than expected for human urine.

Disabling damage: Damage which precludes departure of any vehicle from the scene of the occurrence in its usual manner in daylight after simple repairs. Disabling damage includes damage to vehicles that could have been operated but would have been further damaged if so operated, but does not include damage

which can be remedied temporarily at the scene of the occurrence without special tools or parts, tire disablement without other damage even if no spare tire is available, or damage to headlights, taillights, turn signals, horn, or windshield wipers that makes them inoperative.

Evidentiary Breath Testing Device (EBT): A device approved by the NHTSA for the evidential testing of breath at the 0.02 and the 0.04 alcohol concentrations, and appears on ODAPC's Web page for "Approved Evidential Breath Measurement Devices" because it conforms with the model specifications available from NHTSA.

Initial Drug Test: (Screening Drug Test) The test used to differentiate a negative specimen from one that requires further testing for drugs or drug metabolites.

Initial Specimen Validity Test: The first test used to determine if a urine specimen is adulterated, diluted, substituted, or invalid

Invalid Result: The result reported by an HHS-certified laboratory in accordance with the criteria established by the HHS Mandatory Guidelines when a positive, negative, adulterated, or substituted result cannot be established for a specific drug or specimen validity test.

Laboratory: Any U.S. laboratory certified by HHS under the National Laboratory Certification program as meeting standards of Subpart C of the HHS Mandatory Guidelines for Federal Workplace Drug Testing Programs; or, in the case of foreign laboratories, a laboratory approved for participation by DOT under this part.

Limit of Detection (LOD): The lowest concentration at which a measurand can be identified, but (for quantitative assays) the concentration cannot be accurately calculated.

Limit of Quantitation: For quantitative assays, the lowest concentration at which the identity and concentration of the measurand can be accurately established.

Medical Review Officer (MRO): A licensed physician (medical doctor or doctor of osteopathy) responsible for receiving laboratory results generated by the drug testing program who has knowledge of substance abuse disorders, and has appropriate medical training to interpret and evaluate an individual's confirmed positive test result, together with his/her medical history, and any other relevant bio-medical information.

Negative Dilute: A drug test result which is negative for the five drug/drug metabolites but has creatinine and specific gravity values that are lower than expected for human urine.

Negative result: The result reported by an HHS-certified laboratory to an MRO when a specimen contains no drug or the concentration of the drug is less than the cutoff concentration for the drug or drug class and the specimen is a valid specimen. An alcohol concentration of less than 0.02 BAC is a negative test result.

Non-negative test result: A urine specimen that is reported as adulterated, substituted, invalid, or positive for drug/drug metabolites.

Oxidizing Adulterant: A substance that acts alone or in combination with other substances to oxidize drugs or drug metabolites to prevent the detection of the drug or metabolites, or affects the reagents in either the initial or confirmatory drug test.

Performing (a safety-sensitive function): A covered employee is considered to be performing a safety-sensitive function and includes any period in which he or she is actually performing, ready to perform, or immediately available to perform such functions.

Positive result: The result reported by an HHS- Certified laboratory when a specimen contains a drug or drug metabolite equal or greater to the cutoff concentrations.

Prohibited drug: Identified as marijuana, cocaine, opioids, amphetamines, or phencyclidine as specified in 49 CFR Part 40, as amended.

Reconfirmed: The result reported for a split specimen when the second laboratory is able to corroborate the original result reported for the primary specimen.

Rejected for Testing: The result reported by an HHS- Certified laboratory when no tests are performed for specimen because of a fatal flaw or a correctable flaw that has not been corrected.

Revenue Service Vehicles: All transit vehicles that are used for passenger transportation service.

Safety-sensitive functions: Employee duties identified as:

- (1) The operation of a transit revenue service vehicle even when the vehicle is not in revenue service.
- (2) The operation of a non-revenue service vehicle by an employee when the operation of such a vehicle requires the driver to hold a Commercial Drivers License (CDL).
- (3) Maintaining a revenue service vehicle or equipment used in revenue service.
- (4) Controlling the movement of a revenue service vehicle and
- (5) Carrying a firearm for security purposes.

Split Specimen Collection: A collection in which the urine collected is divided into two separate bottles, the primary specimen (Bottle A) and the split specimen (Bottle B).

Substance Abuse Professional (SAP): A licensed physician (medical doctor or doctor of osteopathy) or licensed or certified psychologist, social worker, employee assistance professional, state-licensed or certified marriage and family therapist, or drug and alcohol counselor (certified by an organization listed at <https://www.transportation.gov/odapc/sap>) with knowledge of and clinical experience in the diagnosis and treatment of drug and alcohol related disorders.

Substituted specimen: A urine specimen with creatinine and specific gravity values that are so diminished or so divergent that they are not consistent with normal human urine.

Test Refusal: The following are considered a refusal to test if the employee:

- (1) Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer.
- (2) Fail to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test has not refused to test.
- (3) Fail to attempt to provide a breath or urine specimen. An employee who does not provide a urine or breath specimen because he or she has left the testing site before the testing process commenced for a pre-employment test has not refused to test.
- (4) In the case of a directly-observed or monitored urine drug collection, fail to permit monitoring or observation of your provision of a specimen.
- (5) Fail to provide a sufficient quantity of urine or breath without a valid medical explanation.
- (6) Fail or decline to take a second test as directed by the collector or the employer for drug testing.
- (7) Fail to undergo a medical evaluation as required by the MRO or the employer's Designated Employer Representative (DER).
- (8) Fail to cooperate with any part of the testing process.
- (9) Fail to follow an observer's instructions to raise and lower clothing and turn around during a directly-observed test.
- (10) Possess or wear a prosthetic or other device used to tamper with the collection process.
- (11) Admit to the adulteration or substitution of a specimen to the collector or MRO.
- (12) Refuse to sign the certification at Step 2 of the Alcohol Testing Form (ATF).
- (13) Fail to remain readily available following an accident.

- (14) As a covered employee, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.

Vehicle: A bus, electric bus, van, automobile, rail car, trolley car, trolley bus, or vessel. A public transit vehicle is a vehicle used for public transportation or for ancillary services.

Verified negative test: A drug test result reviewed by a medical review officer and determined to have no evidence of prohibited drug use at or above the minimum cutoff levels established by the Department of Health and Human Services (HHS).

Verified positive test: A drug test result reviewed by a medical review officer and determined to have evidence of prohibited drug use at or above the minimum cutoff levels specified in 49 CFR Part 40 as revised.

Validity testing: The evaluation of the specimen to determine if it is consistent with normal human urine. Specimen validity testing will be conducted on all urine specimens provided for testing under DOT authority. The purpose of validity testing is to determine whether certain adulterants or foreign substances were added to the urine, if the urine was diluted, or if the specimen was substituted.

D. EDUCATION AND TRAINING

- 1) Every covered employee will receive a copy of this policy and will have ready access to the corresponding federal regulations including 49 CFR Parts 655 and 40, as amended. In addition, all covered employees will undergo a minimum of 60 minutes of training on the signs and symptoms of drug use including the effects and consequences of drug use on personal health, safety, and the work environment. The training also includes manifestations and behavioral cues that may indicate prohibited drug use.
- 2) All supervisory personnel or company officials who are in a position to determine employee fitness for duty will receive 60 minutes of reasonable suspicion training on the physical, behavioral, and performance indicators of probable drug use and 60 minutes of additional reasonable suspicion training on the physical, behavioral, speech, and performance indicators of probable alcohol misuse.

E. PROHIBITED SUBSTANCES

- 1) Prohibited substances addressed by this policy include the following.
 - a. Illegally Used Controlled Substance or Drugs Under the Drug-Free Workplace Act of 1988 any drug or any substance identified in Schedule

I through V of Section 202 of the Controlled Substance Act (21 U.S.C. 812), and as further defined by 21 CFR 1308.11 through 1308.15 is prohibited at all times in the workplace unless a legal prescription has been written for the substance. This includes, but is not limited to: marijuana, amphetamines, opioids, phencyclidine (PCP), and cocaine, as well as any drug not approved for medical use by the U.S. Drug Enforcement Administration or the U.S. Food and Drug Administration. Illegal use includes use of any illegal drug, misuse of legally prescribed drugs, and use of illegally obtained prescription drugs. It is important to note that the use of marijuana in any circumstances remains completely prohibited for any safety-sensitive employee subject to drug testing under USDOT regulations. The use of marijuana in any circumstance (including under state recreational and/or medical marijuana laws) by a safety-sensitive employee is a violation of this policy and a violation of the USDOT regulation 49 CFR Part 40, as amended.

Federal Transit Administration drug testing regulations (49 CFR Part 655) require that all employees covered under FTA authority be tested for marijuana, cocaine, amphetamines, opioids, and phencyclidine as described in this policy. Illegal use of these five drugs is prohibited at all times and thus, covered employees may be tested for these drugs anytime that they are on duty.

- b. Legal Drugs: The appropriate use of legally prescribed drugs and non-prescription medications is not prohibited. However, the use of any substance which carries a warning label that indicates that mental functioning, motor skills, or judgment may be adversely affected must be reported to a ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY supervisor and the employee is required to provide a written release from his/her doctor or pharmacist indicating that the employee can perform his/her safety-sensitive functions.
- c. Alcohol: The use of beverages containing alcohol (including mouthwash, medication, food, candy) or any other substances containing alcohol in a manner which violates the conduct listed in this policy is prohibited.

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F. PROHIBITED CONDUCT

- 1) Illegal use of the drugs listed in this policy and as defined in 49 CFR Part 40, as amended is prohibited at all times. All covered employees are prohibited from reporting for duty or remaining on duty if they have used a prohibited drug as defined in 49 CFR Part 40, as amended.
- 2) Each covered employee is prohibited from consuming alcohol while performing safety-sensitive job functions or while on-call to perform safety-

sensitive job functions. If an on-call employee has consumed alcohol, they must acknowledge the use of alcohol at the time that they are called to report for duty. The covered employee will subsequently be relieved of his/her on-call responsibilities and subject to discipline for not fulfilling his/her on-call responsibilities.

- 3) The Transit Department shall not permit any covered employee to perform or continue to perform safety-sensitive functions if it has actual knowledge that the employee is using alcohol
- 4) Each covered employee is prohibited from reporting to work or remaining on duty requiring the performance of safety-sensitive functions while having an alcohol concentration of 0.04 or greater regardless of when the alcohol was consumed.
 - a. An employee with a breath alcohol concentration which measures 0.02-0.039 is not considered to have violated the USDOT-FTA drug and alcohol regulations, provided the employee hasn't consumed the alcohol within four (4) hours of performing a safety-sensitive duty. However, if a safety-sensitive employee has a breath alcohol concentration of 0.02-0.039, USDOT-FTA regulations require the employee to be removed from the performance of safety-sensitive duties until:
 - i. The employee's alcohol concentration measures less than 0.02; or
 - ii. The start of the employee's next regularly scheduled duty period, but not less than eight hours following administration of the test.
- 5) No covered employee shall consume alcohol for eight (8) hours following involvement in an accident or until he/she submits to the post-accident drug/alcohol test, whichever occurs first.
- 6) No covered employee shall consume alcohol within four (4) hours prior to the performance of safety-sensitive job functions.
- 7) ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY~~}~~, under its own authority, also prohibits the consumption of alcohol at all times the employee is on duty, or anytime the employee is in uniform.
- 8) Consistent with the Drug-free Workplace Act of 1988, all ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY~~}~~ employees are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of prohibited substances in the work place including transit system premises and transit vehicles.

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G. DRUG STATUTE CONVICTION

Consistent with the Drug Free Workplace Act of 1998, all employees are required to notify the ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY management of any criminal drug statute conviction for a violation occurring in the workplace within five days after such conviction. Failure to comply with this provision shall result in disciplinary action as defined in Section Q of this policy.

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H. TESTING REQUIREMENTS

- 1) Analytical urine drug testing and breath testing for alcohol will be conducted as required by 49 CFR Part 40 as amended. All employees covered under FTA authority shall be subject to testing prior to performing safety-sensitive duty, for reasonable suspicion, following an accident, and random as defined in Section K, L, M, and N of this policy, and return to duty/follow-up.
- 2) A drug test can be performed any time a covered employee is on duty. A reasonable suspicion, random, or follow-up alcohol test can only be performed just before, during, or after the performance of a safety-sensitive job function. Under ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY authority, a non-DOT alcohol test can be performed any time a covered employee is on duty.
- 3) All covered employees will be subject to urine drug testing and breath alcohol testing as a condition of ongoing employment with ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY. Any safety-sensitive employee who refuses to comply with a request for testing shall be removed from duty and subject to discipline as defined in Section Q of this policy.

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I. DRUG TESTING PROCEDURES

- 1) Testing shall be conducted in a manner to assure a high degree of accuracy and reliability and using techniques, equipment, and laboratory facilities which have been approved by the U.S. Department of Health and Human Service (HHS). All testing will be conducted consistent with the procedures set forth in 49 CFR Part 40, as amended. The procedures will be performed in a private, confidential manner and every effort will be made to protect the employee, the integrity of the drug testing procedure, and the validity of the test result.

- 2) The drugs that will be tested for include marijuana, cocaine, opioids, amphetamines, and phencyclidine. After the identity of the donor is checked using picture identification, a urine specimen will be collected using the split specimen collection method described in 49 CFR Part 40, as amended. Each specimen will be accompanied by a DOT Custody and Control Form and identified using a unique identification number that attributes the specimen to the correct individual. The specimen analysis will be conducted at an HHS-certified laboratory. An initial drug screen and validity test will be conducted on the primary urine specimen. For those specimens that are not negative, a confirmatory Gas Chromatography/Mass Spectrometry (GC/MS) or Liquid Chromatography/Mass Spectrometry (LC/MS) test will be performed. The test will be considered positive if the amounts of the drug(s) and/or its metabolites identified by the GC/MS or LC/MS test are at or above the minimum thresholds established in 49 CFR Part 40, as amended.
- 3) The test results from the HHS certified laboratory will be reported to a Medical Review Officer. A Medical Review Officer (MRO) is a licensed physician with detailed knowledge of substance abuse disorders and drug testing. The MRO will review the test results to ensure the scientific validity of the test and to determine whether there is a legitimate medical explanation for a confirmed positive, substitute, or adulterated test result. The MRO will attempt to contact the employee to notify the employee of the non-negative laboratory result, and provide the employee with an opportunity to explain the confirmed laboratory test result. The MRO will subsequently review the employee's medical history/medical records as appropriate to determine whether there is a legitimate medical explanation for a non-negative laboratory result. If no legitimate medical explanation is found, the test will be verified positive or refusal to test and reported to ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY}. If a legitimate explanation is found, the MRO will report the test result as negative.
- 4) If the test is invalid without a medical explanation, a retest will be conducted under direct observation. Employees do not have access to a test of their split specimen following an invalid result.
- 5) Any covered employee who questions the results of a required drug test may request that the split sample be tested. The split sample test must be conducted at a second HHS-certified laboratory. The test must be conducted on the split sample that was provided by the employee at the same time as the primary sample. The method of collecting, storing, and testing the split sample will be consistent with the procedures set forth in 49 CFR Part 40, as amended. The employee's request for a split sample test

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must be made to the Medical Review Officer within 72 hours of notice of the original sample verified test result. Requests after 72 hours will only be accepted at the discretion of the MRO if the delay was due to documentable facts that were beyond the control of the employee. ~~TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY~~ will ensure that the cost for the split specimen analysis is covered in order for a timely analysis of the sample, however, ~~TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY~~ will seek reimbursement for the split sample test from the employee.

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- 6) If the analysis of the split specimen fails to confirm the presence of the drug(s) detected in the primary specimen, if the split specimen is not able to be analyzed, or if the results of the split specimen are not scientifically adequate, the MRO will declare the original test to be canceled.
- 7) The split specimen will be stored at the initial laboratory until the analysis of the primary specimen is completed. If the primary specimen is negative, the split will be discarded. If the primary specimen is positive, it will be retained in frozen storage for one year and the split specimen will also be retained for one year. If the primary is positive, the primary and the split will be retained for longer than one year for testing if so requested by the employee through the Medical Review Officer, or by the employer, by the MRO, or by the relevant DOT agency.
- 8) Observed collections
 - a. Consistent with 49 CFR Part 40, as amended, collection under direct observation (by a person of the same gender) with no advance notice will occur if:
 - i. The laboratory reports to the MRO that a specimen is invalid, and the MRO reports to ~~TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY~~ that there was not an adequate medical explanation for the result;
 - ii. The MRO reports to ~~TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY~~ that the original positive, adulterated, or substituted test result had to be cancelled because the test of the split specimen could not be performed;
 - iii. The laboratory reported to the MRO that the specimen was negative-dilute with a creatinine concentration greater than or equal to 2 mg/dL but less than or equal to 5 mg/dL, and the MRO reported the specimen as negative-dilute and that

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a second collection must take place under direct observation (see §40.197(b)(1)).

- iv. The collector observes materials brought to the collection site or the employee's conduct clearly indicates an attempt to tamper with a specimen;
- v. The temperature on the original specimen was out of range;
- vi. Anytime the employee is directed to provide another specimen because the original specimen appeared to have been tampered with.
- vii. All follow-up-tests; or
- viii. All return-to-duty tests

J. ALCOHOL TESTING PROCEDURES

- 1) Tests for breath alcohol concentration will be conducted utilizing a National Highway Traffic Safety Administration (NHTSA)-approved Evidential Breath Testing device (EBT) operated by a trained Breath Alcohol Technician (BAT). A list of approved EBTs can be found on ODAPC's Web page for "Approved Evidential Breath Measurement Devices". Alcohol screening tests may be performed using a non-evidential testing device (alcohol screening device (ASD)) which is also approved by NHTSA. A list of approved ASDs can be found on ODAPC's Web page for "Approved Screening Devices to Measure Alcohol in Bodily Fluids". If the initial test indicates an alcohol concentration of 0.02 or greater, a second test will be performed to confirm the results of the initial test. The confirmatory test must occur on an EBT. The confirmatory test will be conducted no sooner than fifteen minutes after the completion of the initial test. The confirmatory test will be performed using a NHTSA-approved EBT operated by a trained BAT. The EBT will identify each test by a unique sequential identification number. This number, time, and unit identifier will be provided on each EBT printout. The EBT printout, along with an approved alcohol testing form, will be used to document the test, the subsequent results, and to attribute the test to the correct employee. The test will be performed in a private, confidential manner as required by 49 CFR Part 40, as amended. The procedure will be followed as prescribed to protect the employee and to maintain the integrity of the alcohol testing procedures and validity of the test result.

- 2) A confirmed alcohol concentration of 0.04 or greater will be considered a positive alcohol test and in violation of this policy. The consequences of a positive alcohol test are described in Section Q. of this policy. Even though an employee who has a confirmed alcohol concentration of 0.02 to 0.039 is not considered positive, the employee shall still be removed from duty for at least eight hours or for the duration of the work day whichever is longer and will be subject to the consequences described in Section Q of this policy. An alcohol concentration of less than 0.02 will be considered a negative test.
- 3) ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY affirms the need to protect individual dignity, privacy, and confidentiality throughout the testing process. If at any time the integrity of the testing procedures or the validity of the test results is compromised, the test will be canceled. Minor inconsistencies or procedural flaws that do not impact the test result will not result in a cancelled test.
- 4) The alcohol testing form (ATF) required by 49 CFR Part 40 as amended, shall be used for all FTA required testing. Failure of an employee to sign step 2 of the ATF will be considered a refusal to submit to testing.

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K. PRE-EMPLOYMENT TESTING

- 1) All applicants for covered transit positions shall undergo urine drug testing prior to performance of a safety-sensitive function.
 - a. All offers of employment for covered positions shall be extended conditional upon the applicant passing a drug test. An applicant will not be allowed to perform safety-sensitive functions unless the applicant takes a drug test with verified negative results.
 - b. An employee shall not be placed, transferred or promoted into a position covered under FTA authority or company authority until the employee takes a drug test with verified negative results.
 - c. If an applicant fails a pre-employment drug test, the conditional offer of employment shall be rescinded and the applicant will be provided with a list of at least two (2) USDOT qualified Substance Abuse Professionals. Failure of a pre-employment drug test will disqualify an applicant for employment for a period of at least one year. Before being considered for future employment the applicant must provide the employer proof of having successfully completed a referral, evaluation and treatment plan as described in section 655.62 of

subpart G. The cost for the assessment and any subsequent treatment will be the sole responsibility of the applicant.

- d. When an employee being placed, transferred, or promoted from a non-covered position to a position covered under FTA authority or company authority submits a drug test with a verified positive result, the employee shall be subject to disciplinary action in accordance with Section Q herein.
- e. If a pre-employment test is canceled, ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY will require the applicant to take and pass another pre-employment drug test.
- f. In instances where a FTA covered employee does not perform a safety-sensitive function for a period of 90 consecutive days or more regardless of reason, and during that period is not in the random testing pool the employee will be required to take a pre-employment drug test under 49 CFR Part 655 and have negative test results prior to the conduct of safety-sensitive job functions.
- g. Following a negative dilute the employee will be required to undergo another test. Should this second test result in a negative dilute result, the test will be considered a negative and no additional testing will be required unless directed to do so by the MRO.
- h. Applicants are required (even if ultimately not hired) to provide ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY with signed written releases requesting USDOT drug and alcohol records from all previous, USDOT-covered, employers that the applicant has worked for within the last two years. Failure to do so will result in the employment offer being rescinded. ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY is required to ask all applicants (even if ultimately not hired) if they have tested positive or refused to test on a pre-employment test for a USDOT covered employer within the last two years. If the applicant has tested positive or refused to test on a pre-employment test for a USDOT covered employer, the applicant must provide ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY proof of having successfully completed a referral, evaluation and treatment plan as described in section 655.62 of subpart G.

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L. REASONABLE SUSPICION TESTING

- 1) All ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} FTA covered employees will be subject to a reasonable suspicion drug and/or alcohol test when the employer has reasonable suspicion to believe that the covered employee has used a prohibited drug and/or engaged in alcohol misuse. Reasonable suspicion shall mean that there is objective evidence, based upon specific, contemporaneous, articulable observations of the employee's appearance, behavior, speech or body odor that are consistent with possible drug use and/or alcohol misuse. Reasonable suspicion referrals must be made by one or more supervisors who are trained to detect the signs and symptoms of drug and alcohol use, and who reasonably concludes that an employee may be adversely affected or impaired in his/her work performance due to possible prohibited substance abuse or alcohol misuse. A reasonable suspicion alcohol test can only be conducted just before, during, or just after the performance of a safety-sensitive job function. However, under ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} authority, a non-DOT reasonable suspicion alcohol test may be performed any time the covered employee is on duty. A reasonable suspicion drug test can be performed any time the covered employee is on duty.
- 2) ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} shall be responsible for transporting the employee to the testing site. Supervisors should avoid placing themselves and/or others into a situation which might endanger the physical safety of those present. The employee shall be placed on administrative leave pending disciplinary action described in Section Q of this policy. An employee who refuses an instruction to submit to a drug/alcohol test shall not be permitted to finish his or her shift and shall immediately be placed on administrative leave pending disciplinary action as specified in Section Q of this policy.
- 3) A written record of the observations which led to a drug/alcohol test based on reasonable suspicion shall be prepared and signed by the supervisor making the observation. This written record shall be submitted to the ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY}.
- 4) When there are no specific, contemporaneous, articulable objective facts that indicate current drug or alcohol use, but the employee (who is not already a participant in a treatment program) admits the abuse of alcohol or other substances to a supervisor in his/her chain of command, the employee shall be referred for assessment and treatment consistent with Section Q of this policy. ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY} shall place the employee on administrative leave in accordance with the provisions set forth under Section Q of this policy. Testing in this circumstance would be performed under the direct authority of the ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY}.

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Since the employee self-referred to management, testing under this circumstance would not be considered a violation of this policy or a positive test result under Federal authority. However, self-referral does not exempt the covered employee from testing under Federal authority as specified in Sections L through N of this policy or the associated consequences as specified in Section Q.

M. POST-ACCIDENT TESTING

- 1) **FATAL ACCIDENTS** – A covered employee will be required to undergo urine and breath testing if they are involved in an accident with a transit vehicle, whether or not the vehicle is in revenue service at the time of the accident, that results in a fatality. This includes all surviving covered employees that are operating the vehicle at the time of the accident and any other whose performance could have contributed to the accident, as determined by the employer using the best information available at the time of the decision.
- 2) **NON-FATAL ACCIDENTS** – A post-accident test of the employee operating the public transportation vehicle will be conducted if an accident occurs and at least one of the following conditions is met:
 - a. The accident results in injuries requiring immediate medical treatment away from the scene, unless the covered employee can be completely discounted as a contributing factor to the accident.
 - b. One or more vehicles incurs disabling damage as a result of the occurrence and must be transported away from the scene, unless the covered employee can be completely discounted as a contributing factor to the accident

In addition, any other covered employee whose performance could have contributed to the accident, as determined by the employer using the best information available at the time of the decision, will be tested.

As soon as practicable following an accident, as defined in this policy, the transit supervisor investigating the accident will notify the transit employee operating the transit vehicle and all other covered employees whose performance could have contributed to the accident of the need for the test. The supervisor will make the determination using the best information available at the time of the decision.

The appropriate transit supervisor shall ensure that an employee, required to be tested under this section, is tested as soon as practicable, but no longer than eight (8) hours of the accident for alcohol, and no longer than 32 hours for drugs.

If an alcohol test is not performed within two hours of the accident, the Supervisor will document the reason(s) for the delay. If the alcohol test is not conducted within (8) eight hours, or the drug test within 32 hours, attempts to conduct the test must cease and the reasons for the failure to test documented.

Any covered employee involved in an accident must refrain from alcohol use for eight (8) hours following the accident, or until he/she undergoes a post-accident alcohol test.

An employee who is subject to post-accident testing who fails to remain readily available for such testing, including notifying a supervisor of his or her location if he or she leaves the scene of the accident prior to submission to such test, may be deemed to have refused to submit to testing.

Nothing in this section shall be construed to require the delay of necessary medical attention for the injured following an accident, or to prohibit an employee from leaving the scene of an accident for the period necessary to obtain assistance in responding to the accident, or to obtain necessary emergency medical care.

In the rare event that ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY is unable to perform an FTA drug and alcohol test (i.e., employee is unconscious, employee is detained by law enforcement agency), ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY may use drug and alcohol post-accident test results administered by local law enforcement officials in lieu of the FTA test. The local law enforcement officials must have independent authority for the test and the employer must obtain the results in conformance with local law.

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N. RANDOM TESTING

- 1) All covered employees will be subjected to random, unannounced testing. The selection of employees shall be made by a scientifically valid method of randomly generating an employee identifier from the appropriate pool of safety-sensitive employees. Employees who may be covered under company authority will be selected from a pool of non-DOT-covered employees.
- 2) The dates for administering unannounced testing of randomly selected employees shall be spread reasonably throughout the calendar year, day of the week and hours of the day.
- 3) The number of employees randomly selected for drug/alcohol testing during the calendar year shall be not less than the percentage rates set each year

by the FTA administrator. The current year testing rates can be viewed online at <https://www.transportation.gov/odapc/random-testing-rates>.

- 4) Each covered employee shall be in a pool from which the random selection is made. Each covered employee in the pool shall have an equal chance of selection each time the selections are made. Employees will remain in the pool and subject to selection, whether or not the employee has been previously tested. There is no discretion on the part of management in the selection.
- 5) Covered transit employees that fall under the Federal Transit Administration regulations will be included in one random pool maintained separately from the testing pool of non-safety-sensitive employees that are included solely under [TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY] authority.
- 6) Random tests can be conducted at any time during an employee's shift for drug testing. Alcohol random tests can only be performed just before, during, or just after the performance of a safety sensitive duty. However, under [TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY] authority, a non-DOT random alcohol test may be performed any time the covered employee is on duty. Testing can occur during the beginning, middle, or end of an employee's shift.
- 7) Employees are required to proceed immediately to the collection site upon notification of their random selection.

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O. RETURN-TO-DUTY TESTING

[TRANSIT SYSTEM NAME COLUSA COUNTY TRANSIT AGENCY] will terminate the employment of any employee that tests positive or refuses a test as specified in section Q of this policy. However, in the rare event an employee is reinstated with court order or other action beyond the control of the transit system, the employee must complete the return-to-duty process prior to the performance of safety-sensitive functions. All covered employees who previously tested positive on a drug or alcohol test or refused a test, must test negative for drugs, alcohol (below 0.02 for alcohol), or both and be evaluated and released by the Substance Abuse Professional before returning to work. Following the initial assessment, the SAP will recommend a course of rehabilitation unique to the individual. The SAP will recommend the return-to-duty test only when the employee has successfully completed the treatment requirement and is known to be drug and alcohol-free and there are no undue concerns for public safety. The SAP will determine whether the employee returning to duty will require a return-to-duty drug test, alcohol test, or both.

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P. FOLLOW-UP TESTING

Covered employees that have returned to duty following a positive or refused test will be required to undergo frequent, unannounced drug and/or alcohol testing following their return-to-duty test. The follow-up testing will be performed for a period of one to five years with a minimum of six tests to be performed the first year. The frequency and duration of the follow-up tests (beyond the minimums) will be determined by the SAP reflecting the SAP's assessment of the employee's unique situation and recovery progress. Follow-up testing should be frequent enough to deter and/or detect a relapse. Follow-up testing is separate and in addition to the random, post-accident, reasonable suspicion and return-to-duty testing.

In the instance of a self-referral or a management referral, the employee will be subject to non-USDOT follow-up tests and follow-up testing plans modeled using the process described in 49 CFR Part 40. However, all non-USDOT follow-up tests and all paperwork associated with an employee's return-to-work agreement that was not precipitated by a positive test result (or refusal to test) does not constitute a violation of the Federal regulations will be conducted under company authority and will be performed using non-DOT testing forms.

Q. RESULT OF DRUG/ALCOHOL TEST

- 1) Any covered employee that has a verified positive drug or alcohol test, or test refusal, will be removed from his/her safety-sensitive position, informed of educational and rehabilitation programs available, and will be provided with a list of at least two (2) USDOT qualified Substance Abuse Professionals (SAP) for assessment, and will be terminated.
- 2) Following a negative dilute the employee will be required to undergo another test. Should this second test result in a negative dilute result, the test will be considered a negative and no additional testing will be required unless directed to do so by the MRO.
- 3) Refusal to submit to a drug/alcohol test shall be considered equivalent to a positive test result and a direct act of insubordination and shall result in termination and referral to a list of USDOT qualified SAPs. A test refusal is defined as any of the following circumstances:
 - a. Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer.

- b. Fail to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test has not refused to test.
 - c. Fail to attempt to provide a breath or urine specimen. An employee who does not provide a urine or breath specimen because he or she has left the testing site before the testing process commenced for a pre-employment test has not refused to test.
 - d. In the case of a directly-observed or monitored urine drug collection, fail to permit monitoring or observation of your provision of a specimen.
 - e. Fail to provide a sufficient quantity of urine or breath without a valid medical explanation.
 - f. Fail or decline to take a second test as directed by the collector or the employer for drug testing.
 - g. Fail to undergo a medical evaluation as required by the MRO or the employer's Designated Employer Representative (DER).
 - h. Fail to cooperate with any part of the testing process.
 - i. Fail to follow an observer's instructions to raise and lower clothing and turn around during a directly-observed test.
 - j. Possess or wear a prosthetic or other device used to tamper with the collection process.
 - k. Admit to the adulteration or substitution of a specimen to the collector or MRO.
 - l. Refuse to sign the certification at Step 2 of the Alcohol Testing Form (ATF).
 - m. Fail to remain readily available following an accident.
 - n. As a covered employee, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.
- 4) An alcohol test result of ≥ 0.02 to ≤ 0.039 BAC shall result in the removal of the employee from duty for eight hours or the remainder of the work day whichever is longer. The employee will not be allowed to return to safety-sensitive duty for his/her next shift until he/she submits to a NONDOT alcohol test with a result of less than 0.02 BAC.
- 5) In the instance of a self-referral or a management referral, disciplinary action against the employee shall include:
- a. Mandatory referral for an assessment by an employer approved counseling professional for assessment, formulation of a treatment plan, and execution of a return-to-work agreement;
 - b. Failure to execute, or remain compliant with the return-to-work agreement shall result in termination from ~~TRANSIT SYSTEM~~ NAMECOLUSA COUNTY TRANSIT AGENCY} employment.

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- i. Compliance with the return-to-work agreement means that the employee has submitted to a drug/alcohol test immediately prior to returning to work; the result of that test is negative; the employee is cooperating with his/her recommended treatment program; and, the employee has agreed to periodic unannounced follow-up testing as described in Section P of this policy; however, all follow-up testing performed as part of a return-to-work agreement required under section Q of this policy is under the sole authority of ~~TRANSIT SYSTEM~~ NAMECOLUSA COUNTY TRANSIT AGENCY} and will be performed using non-DOT testing forms.
 - c. Refusal to submit to a periodic unannounced follow-up drug/alcohol test shall be considered a direct act of insubordination and shall result in termination. All tests conducted as part of the return-to-work agreement will be conducted under company authority and will be performed using non-DOT testing forms.
 - d. A self-referral or management referral to the employer's counseling professional that was not precipitated by a positive test result does not constitute a violation of the Federal regulations and will not be considered as a positive test result in relation to the progressive discipline defined in Section Q of this policy.
 - e. Periodic unannounced follow-up drug/alcohol testing conducted as a result of a self-referral or management referral which results in a verified positive shall be considered a positive test result in relation to the progressive discipline defined in Section Q of this policy.
 - f. A Voluntary Referral does not shield an employee from disciplinary action or guarantee employment with ~~TRANSIT SYSTEM~~ NAMECOLUSA COUNTY TRANSIT AGENCY}.
 - g. A Voluntary Referral does not shield an employee from the requirement to comply with drug and alcohol testing.
- 6) Failure of an employee to report within five days a criminal drug statute conviction for a violation occurring in the workplace shall result in termination.

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R. GRIEVANCE AND APPEAL

The consequences specified by 49 CFR Part 40.149 (c) for a positive test or test refusal is not subject to arbitration.

S. PROPER APPLICATION OF THE POLICY

~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY is dedicated to assuring fair and equitable application of this substance abuse policy. Therefore, supervisors/managers are required to use and apply all aspects of this policy in an unbiased and impartial manner. Any supervisor/manager who knowingly disregards the requirements of this policy, or who is found to deliberately misuse the policy in regard to subordinates, shall be subject to disciplinary action, up to and including termination.

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T. INFORMATION DISCLOSURE

- 1) Drug/alcohol testing records shall be maintained by the ~~TRANSIT SYSTEM NAME~~COLUSA COUNTY TRANSIT AGENCY Drug and Alcohol Program Manager and, except as provided below or by law, the results of any drug/alcohol test shall not be disclosed without express written consent of the tested employee.
- 2) The employee, upon written request, is entitled to obtain copies of any records pertaining to their use of prohibited drugs or misuse of alcohol including any drug or alcohol testing records. Covered employees have the right to gain access to any pertinent records such as equipment calibration records, and records of laboratory certifications. Employees may not have access to SAP follow-up testing plans.
- 3) Records of a verified positive drug/alcohol test result shall be released to the Drug and Alcohol Program Manager, and other transit system management personnel on a need-to-know basis.
- 4) Records will be released to a subsequent employer only upon receipt of a written request from the employee.
- 5) Records of an employee's drug/alcohol tests shall be released to the adjudicator in a grievance, lawsuit, or other proceeding initiated by or on behalf of the tested individual arising from the results of the drug/alcohol test. The records will be released to the decision maker in the proceeding.
- 6) Records will be released to the National Transportation Safety Board during an accident investigation.
- 7) Information will be released in a criminal or civil action resulting from an employee's performance of safety-sensitive duties, in which a court of competent jurisdiction determines that the drug or alcohol test information is relevant to the case and issues an order to the employer to release the information. The employer will release the information to the decision

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maker in the proceeding with a binding stipulation that it will only be released to parties of the proceeding.

- 8) Records will be released to the DOT or any DOT agency with regulatory authority over the employer or any of its employees.
- 9) Records will be released if requested by a Federal, state or local safety agency with regulatory authority over ~~TRANSIT SYSTEM NAME~~ COLUSA COUNTY TRANSIT AGENCY or the employee.
- 10) If a party seeks a court order to release a specimen or part of a specimen contrary to any provision of Part 40 as amended, necessary legal steps to contest the issuance of the order will be taken
- 11) In cases of a contractor or sub-recipient of a state department of transportation, records will be released when requested by such agencies that must certify compliance with the regulation to the FTA.

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This Policy was adopted by the Colusa County Transit Commission and Transit Agency~~[LOCAL GOVERNING BOARD]~~ on August 31st~~September 28, 2021~~
~~[MONTH DD, YEAR]~~.

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[APPLICABLE SIGNATURES]

Attachment A

<u>Job Title</u>	<u>Job Duties</u>	<u>Testing Authority</u>
<u>Equipment Mechanic</u>	<u>Mechanic</u>	
<u>Lead Transit Specialist</u>	<u>Dispatch, Driver</u>	
<u>Operations Supervisor</u>	<u>Dispatch, Relief Driver</u>	
<u>Transit Driver</u>	<u>Driver</u>	
<u>Transit Manager</u>	<u>Dispatch, Relief Driver</u>	
<u>Transit Vehicle Equipment Service Worker</u>	<u>Mechanic, Relief Driver</u>	

All of the Colusa County Transit Agency job titles, and the actual duties performed by the employees, have been analyzed to determine whether persons perform, or may be called upon to perform, safety-sensitive duties. Our current analysis indicates that all current Transit agency employees are covered employees, because they all perform, or may be call to perform safety-sensitive duties.

All of the Safety-Sensitive Positions listed above are subject to the testing authority of the United States Department of Transportation – Federal Transit Administration.

Attachment B Contacts

Any questions regarding this policy or any other aspect of the substance abuse policy should be directed to the following individual(s).

~~TRANSIT SYSTEM NAME~~ COLUSA COUNTY TRANSIT AGENCY} Drug and Alcohol Program Manager

Name: Todd Manouse
 Title: Safety Officer/Assistant Risk Manager
 Address: Colusa County Risk Management
250, 5th Street, Colusa, CA 95932
 Telephone Number: 530-458-0420

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Medical Review Officer

Name: Donald S. Freedman, M.D.
 Title: Doctor of Medicine
American Medical Review Officer, Inc.
 Address: 4237 Salisbury Road, Jacksonville, FL 32216
 Telephone Number: 904-332-0472

Substance Abuse Professional #1

Name: Human Behavioral Associates, Inc.
 Title: _____
 Address: 1350 Hayes Street, Suite B-100
Benicia, CA 94510
 Telephone Number: 707-747-0117

Substance Abuse Professional #2

Name: Jason Santibanez
 Title: Addiction Substance Abuse Counselor
 Address: 1881 Robinson St., Suite E
Oroville, CA 95965
 Telephone Number: 530-228-5816

HHS-Certified Laboratory Primary Specimen

Name: Plexus Global, LLC.
 Address: 19340 Jesse Lane, Suite 280
Riverside, CA 92508
 Telephone Number: 1-844-516-1008

Drug Testing Collection Agency:

Name: Colusa Indian Health Clinic
Address: 3710 Highway 45
 Colusa, CA 95932
Telephone Number: 530-458-5501

Consortium:

Name: PRISM-Public Risk Innovation, Solutions, and
 Management
 Consortium of Counties
Name and Title: Charles A. Williams, Loss Prevention Manager
Address: 75 Iron Circle, Suite 200
 Folsom, CA 95630
Telephone Number: 916-850-7300

DHHS Certified Laboratory Split Specimen:

Upon notification by the MRO of a verified positive test, an employee
(subject to certain conditions) may request an analysis of the split specimen.
If requested, the MRO shall direct the first laboratory to ship the split
specimen to another DHHS-certified laboratory as determined by the
employee.